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Executive Summary

This document proposes an upgrade of Acme, Inc.'s NestJS framework from version 7 to the latest stable version. Docupal Demo, LLC has prepared this proposal to outline the process, benefits, and key considerations for a seamless and effective upgrade.

Upgrade Rationale

The primary drivers for this upgrade are to enhance application performance, bolster security, and leverage new features available in the newer NestJS version. By upgrading, ACME-1 can expect significant improvements in response times, a reduction in potential security vulnerabilities, and an increase in developer productivity through access to modern tools and functionalities.

Key Stakeholders

The key stakeholders involved in this project include ACME-1's CTO, Development Team Lead, and Security Officer. Their collaboration and input will be crucial for the successful planning and execution of the upgrade.

Anticipated Benefits

The upgrade is expected to deliver several tangible benefits to ACME-1, including:

- **Performance Boost:** Optimized code execution leading to faster response times.
- **Enhanced Security:** Mitigation of known vulnerabilities and access to the latest security patches.
- **Improved Developer Experience:** Access to new features and tools that streamline development workflows.
- **Long-Term Maintainability:** Alignment with current technology standards ensuring future compatibility.

This proposal details our approach to achieving these benefits while minimizing disruption to ACME-1's operations. It includes timelines, risk mitigation strategies, testing procedures, and resource allocation plans to ensure a smooth and successful upgrade process.



Current State Assessment

This section details the current state of ACME-1's NestJS application. It provides an overview of the existing environment, architecture, and identifies areas for improvement.

NestJS Version

The application currently runs on NestJS version 7. While stable, this version lacks the performance enhancements, security updates, and new features available in later versions. Upgrading to the latest version will allow ACME-1 to take advantage of these improvements.

Architecture Overview

The application employs a microservices architecture, alongside REST APIs for external communication. This design promotes modularity and scalability. However, the current implementation has some technical debt that impacts overall efficiency.

Performance and Security

Application performance is currently adequate. However, known security vulnerabilities exist within the current NestJS version and its dependencies. Addressing these vulnerabilities is crucial to maintaining a secure application environment.

Technical Debt

Several areas of technical debt have been identified. These include:

- **Outdated Library Versions:** Many dependencies are running on older versions. This can lead to compatibility issues and missed security patches.
- **Unoptimized Database Queries:** Some database queries are not optimized, leading to slower response times and increased resource consumption.

Addressing these issues during the upgrade process will result in a more stable, secure, and performant application.



Upgrade Goals and Scope

This section outlines the objectives, scope, and success criteria for upgrading Acme, Inc's NestJS application from version 7 to version 10. The primary goal is to leverage the performance improvements, enhanced security features, and developer experience enhancements offered by the newer NestJS version.

Objectives

The upgrade aims to achieve the following:

- **Performance Improvement:** Reduce API latency, resulting in faster response times and improved user experience.
- **Enhanced Security:** Mitigate potential security vulnerabilities by adopting the latest security patches and best practices incorporated in NestJS version 10. Our goal is zero critical security incidents post-upgrade.
- **Improved Developer Velocity:** Streamline the development process and increase developer productivity through the use of modern language features, updated libraries, and improved tooling.

Scope

The scope of this upgrade encompasses the following modules:

- **Authentication Module:** The existing authentication mechanisms will be migrated and tested for compatibility with NestJS version 10.
- **User Management Module:** All functionalities related to user management, including user creation, modification, and deletion, will be upgraded.

This upgrade focuses solely on the core NestJS framework and specified modules. Third-party libraries will be evaluated for compatibility and upgraded as needed. The upgrade will be performed in a controlled environment, with thorough testing to ensure stability and functionality.

Success Criteria

The success of this NestJS upgrade will be measured by the following criteria:



- **Reduced API Latency:** A measurable decrease in API response times after the upgrade, benchmarked against pre-upgrade performance metrics.
- **Zero Critical Security Incidents:** No reported critical security vulnerabilities or incidents related to the upgraded NestJS framework.
- **Increased Developer Velocity:** An observable improvement in developer productivity, measured through faster feature development and reduced debugging time.
- **Full Functionality:** All existing features and functionalities within the Authentication and User Management modules must operate as expected after the upgrade.

Technical Impact Analysis

The proposed upgrade from NestJS version 7 to version 10 introduces several key technical considerations that must be carefully evaluated to ensure a smooth transition and maintain application stability. This analysis outlines the potential impacts on the application's architecture, dependencies, API contracts, and database integrations.

Application Architecture

The upgrade to NestJS 10 will affect the application's core architecture. NestJS 10 introduces improvements in module organization and dependency injection. We anticipate refactoring some modules to align with the new recommended practices. This includes reviewing and potentially updating the structure of feature modules and shared modules to leverage the enhanced modularity features in NestJS 10. The adoption of new interceptors and guards might also require adjustments to existing application logic, particularly in areas related to request handling and authentication.

Dependency Analysis

A comprehensive dependency analysis is crucial to identify potential conflicts and ensure compatibility with NestJS 10. This involves examining all existing npm packages and libraries to determine if they support NestJS 10 or require updates to compatible versions. We have identified several key dependencies that will need to be updated or replaced. For example, TypeORM, a widely used ORM for NestJS applications, may require an upgrade to a version that supports NestJS 10. Any



incompatible dependencies will be addressed by either upgrading them to compatible versions, replacing them with alternative libraries, or refactoring the code to remove the dependency.

API Contracts

Upgrading NestJS may impact the application's API contracts, potentially affecting both internal and external consumers. We will conduct a thorough review of all API endpoints to identify any breaking changes introduced by the new NestJS version. This includes examining request and response formats, status codes, and error handling mechanisms. Any necessary adjustments to the API contracts will be documented and communicated to all relevant stakeholders. Versioning strategies will be employed to ensure backward compatibility and minimize disruption to existing clients. We plan to use automated testing tools to validate API compatibility and identify any regressions.

Database Integrations

The integration with databases, such as PostgreSQL, MongoDB, or MySQL, needs careful consideration during the upgrade process. We will evaluate the compatibility of existing database drivers and ORMs with NestJS 10. For instance, if the application uses Mongoose for MongoDB integration, we will verify that the installed version is compatible with NestJS 10. Any required updates to database drivers or ORMs will be performed in a controlled manner, with thorough testing to ensure data integrity and application stability. This includes verifying database connection settings, query performance, and transaction management.

Feature Comparison

The following chart compares the usage of deprecated features in the current NestJS 7 application with the new features available in NestJS 10. This comparison helps prioritize the refactoring efforts during the upgrade.

Migration Strategy and Plan

Our approach to upgrading ACME-1's NestJS framework from version 7 will be incremental. This minimizes disruption and allows for continuous testing and validation throughout the process. The upgrade will be executed in four distinct phases, each with specific objectives and deliverables.



Phased Approach

1. **Assessment (2 weeks):** We will begin with a thorough assessment of the current application. This includes a detailed analysis of dependencies, identifying deprecated features, and evaluating the complexity of existing modules. The goal is to create a comprehensive upgrade roadmap.
2. **Development (8 weeks):** This phase involves the actual upgrade of the NestJS framework and related libraries. We will address compatibility issues, refactor code where necessary, and implement new features introduced in the newer version. Regular code reviews and integration tests will be conducted.
3. **Testing (4 weeks):** Rigorous testing will be performed to ensure the application's stability and functionality. This includes unit tests, integration tests, and end-to-end tests. We will address any identified bugs or issues promptly.
4. **Deployment (2 weeks):** The upgraded application will be deployed to a staging environment for final validation. Upon successful completion, we will proceed with a phased deployment to the production environment. Monitoring and performance analysis will be conducted post-deployment.

Risk Management

We will proactively manage risks associated with the upgrade process. Risk assessment workshops will be conducted to identify potential issues. For each identified risk, we will develop a detailed mitigation plan. This plan will outline specific actions to minimize the impact of the risk. Regular monitoring of risks and mitigation plan effectiveness will be carried out.

Rollback Strategy

In the event of critical issues during or after the upgrade, we have established rollback mechanisms to ensure business continuity. These mechanisms include:

- **Database Backups:** Full database backups will be taken before each significant change.
- **Code Versioning:** We will use Git for version control, allowing us to revert to previous versions of the code.



- **Version Switch:** The ability to switch back to the previous version of the application will be maintained. This will allow for quick recovery in case of unforeseen problems.

Testing and Quality Assurance

A rigorous testing strategy is critical to ensure a smooth and successful NestJS upgrade for ACME-1. Our approach includes multiple layers of testing, designed to identify and resolve potential issues early in the process. This minimizes risks and ensures the upgraded application meets the required performance and reliability standards.

Test Types

We will conduct the following types of tests:

- **Unit Tests:** These tests will focus on individual components and functions within the NestJS application. The goal is to verify that each unit of code performs as expected in isolation.
- **Integration Tests:** Integration tests will assess the interaction between different modules and services within the application. This will confirm that the various parts of the system work together correctly.
- **End-to-End (E2E) Tests:** These tests will simulate real user scenarios, covering the entire application flow from start to finish. E2E tests validate that the application functions correctly from the user's perspective.
- **Performance Tests:** Performance tests will measure the application's speed, stability, and scalability under various load conditions. This will help identify any performance bottlenecks and ensure the application can handle the expected user traffic.
- **Security Tests:** Security tests will identify potential vulnerabilities and weaknesses in the application's security posture. These tests will help ensure the application is protected against unauthorized access and cyber threats.

Regression Prevention

To prevent regressions, we will implement a comprehensive regression test suite. This suite will include a collection of tests that cover all critical functionalities of the application. The regression test suite will be executed automatically as part of our



continuous integration/continuous deployment (CI/CD) pipeline. This ensures that any changes made to the codebase do not introduce new issues or break existing functionality.

Test Coverage Targets

We are committed to achieving high test coverage to ensure the quality and reliability of the upgraded application. Our target test coverage is as follows:

- Unit Tests: 80% coverage
- Integration Tests: 90% coverage

We will use code coverage tools to measure the percentage of code covered by our tests. This helps us identify areas of the codebase that require additional testing.

Performance and Security Enhancements

This NestJS upgrade is expected to bring significant performance and security improvements to the ACME-1 application. We anticipate enhancements in API response times, overall throughput, and resource utilization. The upgrade also incorporates crucial security updates, including updated authentication protocols, patched vulnerabilities, and new security middleware.

Performance Optimizations

The updated NestJS framework includes optimizations that will lead to faster API response times. We project a decrease in latency, allowing the application to handle requests more efficiently. Increased throughput means the system can process a higher volume of requests concurrently. We also foresee improved resource utilization, enabling the application to operate more efficiently with existing infrastructure.

To illustrate these improvements, the following chart shows projected performance gains after the upgrade:



Security Improvements

This upgrade addresses several known vulnerabilities, including protection against XSS (Cross-Site Scripting) and SQL injection attacks. The updated authentication protocols will provide a more secure method for user authentication and authorization. The new security middleware adds an extra layer of defense against potential threats. We will apply all relevant security patches available in the new NestJS version.

Dependency and Third-Party Library Management

This section outlines our approach to managing dependencies and third-party libraries during the NestJS upgrade. A key aspect of the upgrade is ensuring compatibility between the existing codebase and the newer NestJS version. We will carefully review all dependencies to identify potential conflicts or deprecated packages.

Dependency Updates

We will update the following dependencies:

- Passport
- TypeORM
- All NestJS core modules

Addressing Deprecations and Incompatibilities

Our assessment has identified potential incompatibilities with older versions of TypeORM. To address this, we will upgrade TypeORM to a compatible version.

Conflict Resolution

Dependency conflicts will be resolved through a combination of:

- **Version Pinning:** We will use version pinning in the package.json file to specify compatible versions of each library.



- **Conflict Resolution Meetings:** We will hold regular meetings with the ACME-1 development team to discuss and resolve any conflicts that arise.

We will conduct thorough testing after each dependency update to ensure the application functions as expected. This process minimizes risks and ensures a smooth transition to the new NestJS version.

Deployment and Rollout Plan

The deployment and rollout of the NestJS upgrade will be carefully managed across three environments: Development, Staging, and Production. This phased approach minimizes risk and ensures a smooth transition.

Deployment Strategy

We will employ canary deployments for the production rollout. This involves initially deploying the upgraded application to a small subset of users. We will closely monitor its performance and stability before gradually increasing the user base. This allows us to identify and address any issues in a controlled environment, reducing the impact on all users.

Monitoring and Metrics

Comprehensive monitoring is crucial during and after the rollout. We will utilize Prometheus and Grafana for real-time monitoring of key performance indicators (KPIs). Custom dashboards will provide a consolidated view of application health, resource utilization, and error rates. We will also integrate logging aggregators to centralize and analyze application logs for troubleshooting and identifying potential problems. The metrics monitored will include:

- Response times
- Error rates
- CPU utilization
- Memory consumption
- Database performance



Rollback Procedures

In the event of critical issues or unexpected behavior, we have established rollback procedures. We will maintain the previous version of the application as a backup. If necessary, we can quickly revert to the previous version to minimize disruption. Clear communication channels will be maintained to inform stakeholders about the rollback and subsequent steps. The rollback plan involves:

1. Immediate switch back to the previous stable version.
2. Detailed analysis of the issues that triggered the rollback.
3. Implementation of necessary fixes and thorough testing.
4. Rescheduling the deployment with the corrected version.

Phased Rollout

The complete rollout will be conducted in phases, allowing for continuous monitoring and adjustments. Each phase will involve increasing the percentage of users on the upgraded application. Progression to the next phase will depend on the successful performance and stability of the current phase.

Stakeholder Roles and Responsibilities

The successful NestJS upgrade relies on clear roles and responsibilities for all involved parties. This section outlines these roles to ensure a smooth and efficient process.

Docupal Demo, LLC

- **John Doe (Project Manager):** John will oversee the entire upgrade project. His responsibilities include planning, resource allocation, risk management, and communication with Acme Inc.
- **Jane Smith (Lead Developer):** Jane will lead the development team. She will be responsible for the technical execution of the upgrade, code reviews, and ensuring code quality.
- **David Lee (Security Engineer):** David will focus on security aspects. He will conduct security assessments, implement security best practices, and address any vulnerabilities identified during the upgrade.



Acme, Inc (ACME-1)

- **CTO:** The CTO will provide final approval for all key milestones. This ensures the upgrade aligns with Acme Inc.'s overall technology strategy and business goals.
- **Acme Inc. Team:** Acme Inc. will provide timely feedback, participate in user acceptance testing (UAT), and ensure the upgraded application meets their business requirements.

Communication

We will maintain open communication through several channels. These include:

- **Slack:** For daily updates and quick questions.
- **Email:** For formal communication and documentation sharing.
- **Weekly Status Meetings:** To discuss progress, address challenges, and ensure alignment between Docupal Demo, LLC and Acme Inc.

Budget and Resource Estimation

The NestJS upgrade project from version 7 will require a dedicated allocation of resources and budget. We have carefully considered the scope of work, potential challenges, and the need for specialized expertise to arrive at the following estimates.

Resource Allocation

Our team will consist of the following personnel:

- **2 Senior Developers:** Responsible for core upgrade tasks, architectural changes, and complex problem-solving.
- **1 Junior Developer:** Assisting with code migration, testing, and documentation.
- **1 QA Engineer:** Ensuring the quality and stability of the upgraded application through rigorous testing procedures.



Budget

The total estimated cost for the NestJS upgrade project is \$50,000. This encompasses all labor costs, required tools, and other project-related expenses. A portion of the budget will be allocated to update security scanning tools, ensuring the upgraded application meets the latest security standards. The budget covers the entire upgrade process, from initial assessment to final deployment and testing.

Conclusion and Recommendations

Based on our assessment, upgrading Acme Inc's NestJS framework from version 7 to the latest version offers significant advantages. These advantages include improved performance, enhanced security, and access to new features.

Key Takeaways

The upgrade will modernize the application stack, ensuring compatibility with current industry standards. This modernization reduces technical debt and improves long-term maintainability. Effective communication between Docupal Demo, LLC and ACME-1 will be crucial for success. Thorough testing at each stage of the upgrade is also essential. A proactive approach to identifying and mitigating risks will help ensure a smooth transition.

Feasibility and Next Steps

The upgrade is feasible, provided we address the outstanding concern regarding the database migration strategy. We recommend a meeting to discuss and finalize this strategy within the next week. Upon approval, the execution phase will take approximately 16 weeks. We recommend ACME-1 promptly approve so that Docupal Demo, LLC can efficiently start the upgrade process.

